This Page Is Inserted by IFW Operations and is not a part of the Official Record

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

IMAGES ARE BEST AVAILABLE COPY.

As rescanning documents will not correct images, please do not report the images to the Image Problems Mailbox.

THIS PAGE BLANK (USPTO)

PCT

WORLD INTELLECTUAL PROPERTY ORGANIZATION International Bureau



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 6:
H04N 7/08, H04L 9/32

(11) International Publication Number: WO 98/03014
(43) International Publication Date: 22 January 1998 (22.01.98)

(21) International Application Number: PCT/IB97/00692

(22) International Filing Date: 12 June 1997 (12.06.97)

(30) Priority Data:
96202016.0 16 July 1996 (16.07.96) EP
(34) Countries for which the regional or
international application was filed: AT et al.

(71) Applicant: PHILIPS ELECTRONICS N.V. [NUNL]; Groenewoudseweg 1, NL-5621 BA Eindhoven (NL).

(71) Applicant (for SE only): PHILIPS NORDEN AB [SE/SE]; Kottbygatan 7, Kista, S-164 85 Stockholm (SE).

(72) Inventor: LINNARTZ, Johan, Paul, Marie, Gerard; Prof. Holstlaan 6, NL-5656 AA Eindhoven (NL).

(74) Agent: SCHMITZ, Herman, J., R.; Internationaal Octrooibureau B.V., P.O. Box 220, NL-5600 AE Eindhoven (NL).

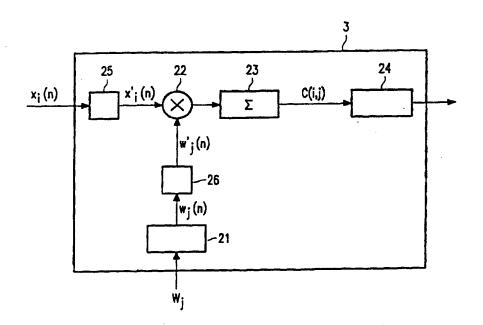
(81) Designated States: JP, KR, European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).

Published

With international search report:

Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.

(54) Title: DETECTING A WATERMARK EMBEDDED IN AN INFORMATION SIGNAL



(57) Abstract

A watermark embedded in an information signal is detected by correlating said information signal with a plurality of watermarks $W_j(n)$. The respective amounts of correlation C(i,j) are then evaluated to determine the watermark embedded in the signal $x_i(n)$. The invention provides a significant improvement of the detection by predictive filtering (25) the information signal and/or predictive filtering (26) the applied watermark prior to the process of correlating the signals.

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
ΑU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	770	Chad
BA	Bosnia and Herzegovina	GΕ	Georgia	MD	Republic of Moklova	TG	Togo
BB	Barbados	GH	Ghana	MG	Medagescar	TJ	Tajikistan
8E	Belgium	GN	Guinea	MK	The former Yugoslav	TM	Turkmenistan
BF	Burkina Faso	GR	Greece		Republic of Macedonia	TR	Turkey
BG	Bulgaria	HU	Hungary	ML	Mali	TT	Trinidad and Tobago
BJ	Benin	ΙE	Ireland	MN	Mongolia	UA Ukraine	
BR	Brazil	IL	Israel	MR	Mauritania	UG	Uganda
BY	Belarus	1S	Iceland	MW	Malawi	us	United States of America
CA	Canada	IT	Italy	MX	Mexico	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NE	Niger	VN	Viet Nam
CG	Congo	KE	Кепуа	NL	Netherlands	YU	Yugoslavia
СН	Switzerland	KG	Kyrgyzstan	NO	Norway	zw	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's	NZ	New Zealand		2000***
CM	Cameroon		Republic of Korea	PL	Poland		
CN	China	KR	Republic of Korea	PT	Portugal		
CU	Cuba	KZ	Kazakstan	RO	Romania		
CZ	Czech Republic	LC	Saint Lucia	RU	Russian Federation		
DE	Germany	LJ	Liechtenstein	SD	Sudan		
DK	Denmark	LK	Sri Lanka	SE	Sweden		
EE	Estonia	LR	Liberia	SG	Singapore		

Detecting a watermark embedded in an information signal.

The invention relates to a method of detecting a watermark embedded in an information signal, comprising a correlation step for correlating said signal and an applied watermark, and an evaluation step for evaluating the result of said correlation. The invention also relates to a method for carrying out said method.

5

10

Watermarks are perceptually invisible messages embedded in multimedia content such as audio, still images, animations or video. They comprise information, for example, about the source or copy right status of documents or audiovisual programs. Watermarks can be used to provide legal proof of the owner of the copyright. They allow tracing of piracy and support the protection of intellectual property.

A known method of detecting a watermark embedded in an information signal comprises a correlation step for correlating said signal and an applied watermark. The result of said correlation is then evaluated. For example, if the amount of correlation is larger than a predetermined value, the applied watermark may be considered to be the watermark embedded in the signal. Alternatively, a number of successive correlation steps can be carried out for different applied watermarks. The applied watermark yielding the largest correlation is then considered to be the watermark embedded in the signal.

OBJECT AND SUMMARY OF THE INVENTION

20

It is an object of the invention, inter alia, to provide a method with which an embedded watermark can yet more reliably be detected.

To that end, the method is characterized by the steps of predictive filtering the information signal or the applied watermark or both, and applying the correlation step to said filtered signal and said filtered watermark.

25

The invention is based on the recognition that the problem of detecting watermarks closely resembles the detection of weak radio or radar signals in the presence of strong interference or noise. By predictive filtering, i.e. subtracting a prediction of the information signal from said signal, a residual signal is obtained having a significant lower

WO 98/03014

variance. This means that the interference which the watermark suffers from the residual information is considerably less than it suffers from the original signal.

2

These and other aspects of the invention will be apparent from and elucidated with reference to the embodiments described hereinafter.

Fig.1 shows schematically an arrangement for watermarking a video signal.

Fig.2 shows schematically a prior art arrangement for detecting a watermark embedded in the signal which is generated by the arrangement shown in Fig.1.

Figs. 3 and 4 show schematically arrangements for detecting the watermark in accordance with the invention.

The invention will herein be described by way of an example of detecting a watermark which is embedded in a digital video signal. The watermarks can be added in almost every domain such as, for example, the time domain, the spatial domain, the transform domain after DCT or Fourier transform, etc. In the present example, it is embedded in the spatial domain, i.e. it is added to the luminance pixel values of a digital video signal.

Fig. 1 shows schematically an arrangement 1 for watermarking the video signal. The arrangement receives a video signal in the form of luminance samples or pixels p(n) and a watermark W_i . The watermark can be a code which uniquely identifies the owner of the copyright. It can also be a text string or simply a binary coded number. Accordingly, there is a finite set of different watermarks W_i . The arrangement comprises a watermark data signal generator 11 which generates a predetermined watermark data signal $w_i(n)$ for each watermark W_i . The luminance value p(n) and watermark data value $w_i(n)$ are added by an adder 12 pixel-by-pixel. Accordingly, the output signal $x_i(n)$ of the watermarking circuit 1 is:

$$x_i(n) = p(n) + w_i(n)$$

The watermark data signal $w_i(n)$ is chosen such that it is perceptually invisible when the output signal is displayed on a receiver. For example, a small value is added to the luminance of selected pixels p(n) of the video image, the watermark W_i determining the selected pixels. An example hereof will now be given in terms of an image of 8 pixels horizontally and 4 pixels vertically. It is to be noted that the method can also be applied to a predetermined part of the image. The method can also be applied to a plurality of image blocks, each block being associated with a portion of the watermark.

In the present example, the value 1 is added to the luminance of selected pixels whereas other pixels remain unaffected. The following equation denotes a watermark data signal $w_1(n)$ that is generated by watermark data signal generator 11 in response to a first watermark W_1 :

$$w_1(n) = \begin{cases} 0 & 1 & 0 & 1 & 0 & 1 & 0 & 1 \\ 1 & 0 & 1 & 0 & 1 & 0 & 1 & 0 \\ 0 & 1 & 0 & 1 & 0 & 1 & 0 & 1 & 0 \\ 1 & 0 & 1 & 0 & 1 & 0 & 1 & 0 & 1 & 0 \end{cases}$$

5

15

The following equation denotes a watermark data signal $w_2(n)$ that is generated by the watermark data signal generator if a second watermark W_2 is applied:

$$w_2(n) = \begin{matrix} 0 & 0 & 1 & 1 & 0 & 0 & 1 & 1 \\ 1 & 1 & 0 & 0 & 1 & 1 & 0 & 0 \\ 0 & 0 & 1 & 1 & 0 & 0 & 1 & 1 \\ 1 & 1 & 0 & 0 & 1 & 1 & 0 & 0 \end{matrix}$$

In this manner, the watermark data signal generator 11 generates a different watermark data signal $w_i(n)$ for each watermark W_i .

In the present example, the video image is assumed to represent a vertical transition from a luminance value 10 to a luminance value 80. The range of luminance values p(n) is assumed to be 0-255. In mathematical notation:

$$p(n) = \begin{cases} 10 & 10 & 10 & 80 & 80 & 80 & 80 \\ 10 & 10 & 10 & 10 & 80 & 80 & 80 & 80 \\ 10 & 10 & 10 & 10 & 80 & 80 & 80 & 80 \\ 10 & 10 & 10 & 10 & 80 & 80 & 80 & 80 \end{cases}$$

Accordingly, if watermark W_1 is applied to arrangement 1, the watermark data signal $w_1(n)$ is added to image signal p(n), which results in the following output signal $x_1(n)$:

Similarly, if watermark W_2 is applied to the watermarking arrangement, the watermark data signal $w_2(n)$ is added to image signal p(n), which results in the following output signal $x_2(n)$:

$$x_{2}(n) = \begin{cases} 10 & 10 & 11 & 11 & 80 & 80 & 81 & 81 \\ 11 & 11 & 10 & 10 & 81 & 81 & 80 & 80 \\ 10 & 10 & 11 & 11 & 80 & 80 & 81 & 81 \\ 11 & 11 & 10 & 10 & 81 & 81 & 80 & 80 \end{cases}$$

It will be appreciated that neither the difference between an output signal $x_i(n)$ and input signal p(n), nor the difference between output signals $x_1(n)$ and $x_2(n)$, is perceivable for a human visual system. The output signal $x_i(n)$ is stored on a storage medium such as an optical disc or a magnetic tape, or transmitted through a transmission channel (not shown in Fig.1).

Fig. 2 shows schematically a prior art arrangement 2 for detecting the watermark W_i embedded in the signal $x_i(n)$. The arrangement receives a watermark W_j and delivers an indication of whether or not the applied watermark W_j resembles the embedded watermark W_i , i.e. whether i=j or not. The arrangement comprises a watermark data signal generator 21 which is identical to, and produces the same watermark data signal $w_j(n)$ as the watermark data signal generator 11 which is shown in Fig. 1. The input signal $x_i(n)$ and the watermark data signal $w_j(n)$ are applied to a circuit which is often referred to as a matched filter or correlator. In the embodiment shown in Fig. 2, said correlator comprises a multiplier 22 and a summation circuit 23. The correlator performs the following mathematical operation:

$$C(i,j) = \sum_{n=1}^{N} x_i(n) * w_j(n)$$

10

o in which N denotes the total number of pixels. It will be appreciated that, if the information signal is an analog signal, for example a time continuous signal x(t), the summation circuit 23 will be an integrator.

The correlator output is a number C(i,j) which represents an amount of correlation between the input signal $x_i(n)$ and the watermark data signal $w_j(n)$. In an evaluation circuit 24, the value C(i,j) is evaluated for various watermarks W_j . The watermark W_j yielding the highest correlation is then assumed to be the watermark W_i . Only two different watermarks are considered here.

The correlation C(i,j) can easily be calculated. If watermark W₁ is embedded in the signal, the correlation between x₁(n) and w₁(n) appears to be C(1,1)=736 whereas the correlation between x₁(n) and w₂(n) appears to be C(1,2)=728. Because C(1,1) is greater than C(1,2), the evaluation circuit 24 determines that the embedded watermark is W₁. If watermark W₂ is embedded, the correlation between x₂(n) and w₁(n) appears to be C(2,1)=728 whereas the correlation between x₂(n) and w₂(n) appears to be C(2,2)=736. Because C(2,2) is greater than C(2,1), the evaluation circuit 24 determines that the embedded watermark is W₂. The following Table I summarizes the above results. The rows of this Table denote input signal x_i(n), i.e. the input signal in which a watermark W_i is embedded.

The columns denote watermark W_i which is applied to arrangement 2.

	W_1	W ₂
x ₁ (n)	C(1,1) = 736	C(1,2) = 728
x ₂ (n)	C(2,1) = 728	C(2,2) = 736

Table I

15

20

25

30

It will be appreciated from this example that the values of C(i,j) representing the amounts of correlation are close to each other. This means that the detection circuit is vulnerable with respect to noise, transmission errors, coding errors, etc.

Fig.3 shows schematically an arrangement 3 for detecting the watermark W_i embedded in the signal $x_i(n)$ in accordance with the invention. The arrangement comprises the same watermark data signal generator 21, multiplier 22, summation circuit 23 and evaluation circuit 24 as are shown in Fig.2. The arrangement further comprises a first predictive filter 25 and a second predictive filter 26. The first predictive filter 25 is connected between the signal input and the multiplier 22. It filters the input signal $x_i(n)$ and generates a filtered input signal $x_i'(n)$. The pre filtering process is also called "whitening", similar to filters that decorrelate noise in radar and radio communication receivers.

The second predictive filter 26 is connected between the watermark data signal generator 21 and the multiplier 22. It filters the locally applied watermark data signal $w_j(n)$ and generates a filtered watermark data signal $w_j'(n)$. The structure of each predictive filter is based on statistical properties of the information signal. Such filters are known per se in the field of source coding. Examples are linear predictive filters as used in DPCM image and speech coding. Other examples are combinations of a transform, a prediction for each frequency subband or transform coefficient, and an inverse transform.

10

15

In the present example, in which the input signal is a digital video image, predictive filter 25 predicts each pixel from its neighbours to the left, to the right, above and below. The predicted value is then subtracted from the actual pixel value to obtain a residual value. In mathematical notation:

5
$$y'(u,v) = y(u,v) - \frac{y(u-1,v)+y(u+1,v)+y(u,v-1)+y(u,v+1)}{4}$$

in which u en v denote discrete pixel positions along the horizontal (u) and vertical (v) axes, y(u,v) denotes filter input samples and y'(u,v) denotes filter output samples. Accordingly, the following equations apply to the filtered input signal $x_1'(n)$ and the filtered input signal $x_2'(n)$, respectively (a scaling factor 4 has been applied to maintain integer values):

$$x_1'(n) = \begin{cases} 18 & 14 & 7 & -56 & 147 & 84 & 77 & 164 \\ 14 & -4 & 4 & -74 & 74 & -4 & 4 & 77 \\ 7 & 4 & -4 & -66 & 66 & 4 & -4 & 84 \\ 24 & 7 & 14 & -63 & 154 & 77 & 84 & 158 \end{cases}$$

$$\mathbf{x_2'}(n) = \begin{cases} 19 & 8 & 13 & -57 & 148 & 78 & 83 & 163 \\ 13 & 3 & -3 & -73 & 73 & 3 & -3 & 78 \\ 8 & -3 & 3 & -67 & 67 & -3 & 3 & 83 \\ 23 & 13 & 8 & -62 & 153 & 83 & 78 & 159 \end{cases}$$

Further, the first predictive filter 25 and the second predictive filter 26 are the same in this example. Although this is a preferred embodiment which yields the best performance, it is not essential. The filters may be different, or one of the predictive filters 25 and 26 may even be dispensed with. The performance of the watermark detecting arrangement is then still significantly better than the perfomance of the prior art arrangement. Accordingly, the following equations apply to the filtered watermark data signal w_1 '(n) and the filtered watermark data signal w_2 '(n), respectively:

$$w_1'(n) = \begin{cases} -2 & 4 & -3 & 4 & -3 & 4 & -3 & 4 \\ 4 & -4 & 4 & -4 & 4 & -4 & 4 & -3 \\ -3 & 4 & -4 & 4 & -4 & 4 & -4 & 4 \\ 4 & -3 & 4 & -3 & 4 & -3 & 4 & -2 \end{cases}$$

The following Table II shows the amounts of correlation C(i,j) between filtered input signal x_i '(n) and filtered watermark data signal w_j '(n) corresponding with watermark W_j . It will be appreciated from comparison with Table I that the performance of the watermark detector shown in Fig.3 is significantly better than the one shown in Fig.2.

	W_{i}	W_2
x ₁ '(n)	C(1,1)=1152	C(1,2) = 704
x ₂ '(n)	C(2,1)=704	C(2,2) = 952

Table II

10

Table III shows the amounts of correlation between filtered input signal $x_i'(n)$ and the unfiltered watermark data signal $w_j(n)$, i.e. if the input signal $x_i(n)$ is subjected to predictive filtering only. The watermark data signal $w_j(n)$ is directly applied to the multiplier 22 in this case. As can be seen, the performance is worse than in Table II but still better than in Table I.

15

	$\mathbf{W_i}$	W ₂
x ₁ '(n)	C(1,1)=604	C(1,2)=544
x ₂ '(n)	C(2,1) = 544	C(2,2) = 588

Table III

Table IV shows the amounts of correlation between the unfiltered input signal $x_i(n)$ and the filtered watermark data signal $w_j'(n)$, i.e. if the watermark data signal $w_j(n)$ is subjected to predictive filtering only. The input signal $x_i(n)$ is directly applied to the multiplier 22 in this case. Again, the performance is worse than in Table II but still better than in Table I.

5

10

15

	W_1	W ₂
x ₁ (n)	C(1,1) = 604	C(1,2)=544
x ₂ (n)	C(2,1)=544	C(2,2) = 588

Table IV

Fig. 4 shows a further embodiment of an arrangement 4 for detecting the embedded watermark. In this arrangement, each pixel of the input signal $x_i(n)$ is multiplied in a multiplier 42 by a specific weighting factor. The products are summed up in a summation circuit 43 which delivers the correlation value C(i,j). A calculating circuit 44 receives the applied watermark W_j and generates the weighting factors using a model of the statistical properties of the information signal. In this embodiment, predictive filtering is not applied to the input signal but embedded in the calculation circuit 44.

In summary, a watermark embedded in an information signal is detected by correlating said information signal with a plurality of watermarks $W_j(n)$. The respective amounts of correlation C(i,j) are then evaluated to determine the watermark embedded in the signal $x_i(n)$. The invention provides a significant improvement of the detection by predictive filtering (25) the information signal and/or predictive filtering (26) the applied watermark prior to the process of correlating the signals.

Claims

- 1. A method of detecting a watermark embedded in an information signal, comprising a correlation step for correlating said signal and an applied watermark, and an evaluation step for evaluating the result of said correlation, characterized by the steps of predictive filtering the information signal or the applied watermark or both, and applying the correlation step to said filtered signal and said filtered watermark.
- 2. The method as claimed in claim 1, wherein the predictive filtering is adapted to predetermined statistical properties of the information signal.
- 3. The method as claimed in claim 1, wherein both predictive filter steps are the same.
- 4. A method of detecting a watermark embedded in an information signal, comprising the step of filtering said signal using filter coefficients determined by an applied watermark, and evaluating the result of said filtering, characterized in that the filter coefficients are further determined by a model of the statistical properties of the information signal.
- 15 5. An arrangement for detecting a watermark (W_i) embedded in an information signal, comprising correlation means (22,23) for correlating said signal (x_i) and an applied watermark (W_i), and evaluation means (24) for evaluating the result of said correlation, characterized by a predictive filter (25) for filtering the information signal or a predictive filter (26) to filter the applied watermark, or both predictive filters, and applying filtered signal and the filtered watermark to the correlation means.
 - 6. The arrangement as claimed in claim 4, wherein the predictive filters (25,26) are adapted to predetermined statistical properties of the information signal.
 - 7. The arrangement as claimed in claim 5, wherein both predictive filters (25,26) are the same.
- 25 8. An arrangement for detecting a watermark (W_i) embedded in an information signal (j), comprising a filter (41,42,43) for filtering said signal using filter coefficients, calculation means (44) for calculating said filter coefficients in dependence on an applied watermark (W_i), and means (24) for evaluating the result of said filtering,

characterized in that the calculation means (44) are adapted to further determine the filter coefficients in accordance with a model of the statistical properties of the information signal.

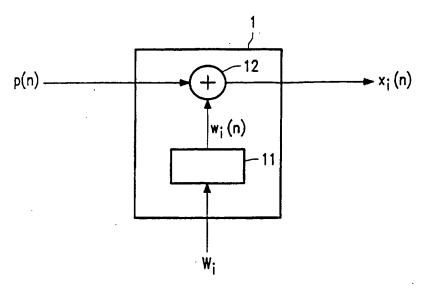
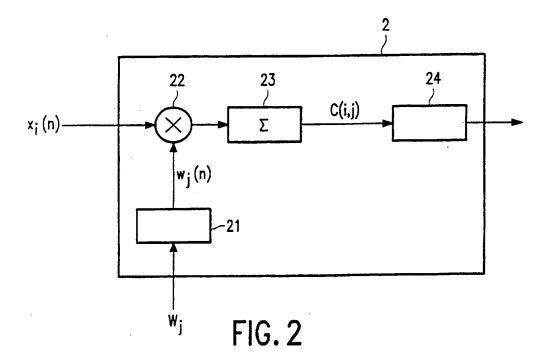
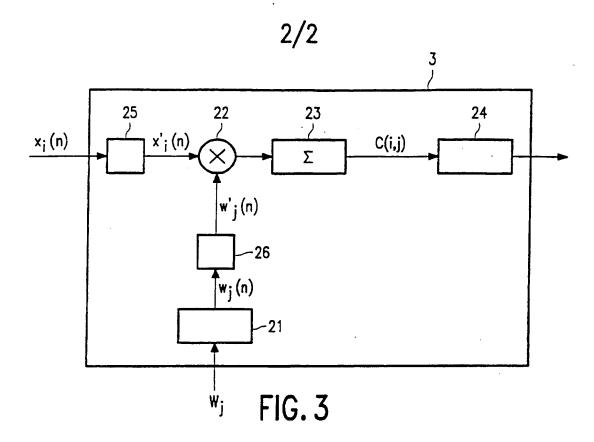
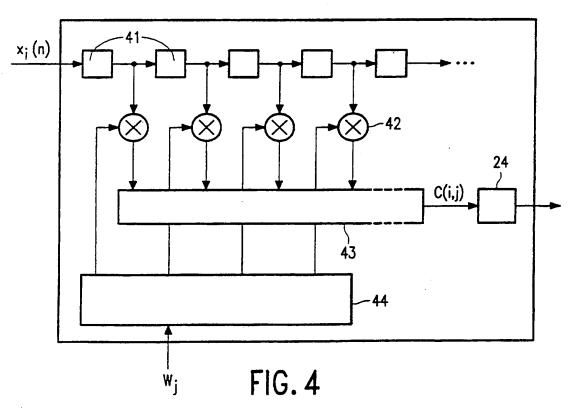


FIG. 1







INTERNATIONAL SEARCH REPORT

International application No. PCT/IB 97/00692

A. CLASSIFICATION OF SUBJECT MATTER		
IPC6: H04N 7/08, H04L 9/32 According to International Patent Classification (IPC) or to both na	tional classification and IPC	
B. FIELDS SEARCHED		
Minimum documentation searched (classification system followed by	classification symbols)	
IPC6: H04N, H04L		
Documentation searched other than minimum documentation to the	extent that such documents are included in	the fields searched
SE,DK,FI,NO classes as above		
Electronic data base consulted during the international search (name	of data base and, where practicable, search	n terms used)
WPI,CLAIMS		
C. DOCUMENTS CONSIDERED TO BE RELEVANT		
Category* Citation of document, with indication, where app	propriate, of the relevant passages	Relevant to claim No.
A EP 0766468 A2 (NEC CORPORATION), (02.04.97), abstract	1-8	
A US 5606609 A (HOUSER ET AL), 25 (25.02.97), see whole docume	February 1997 ent	1-8
		·
	·	
·		
Further documents are listed in the continuation of Box	x C. X See patent family anne	x.
Special categories of cited documents:	"T" later document published after the int date and not in conflict with the appl	ICSTION DITECTION OF MINNELSTATION
"A" document defining the general state of the art which is not considered to be of particular relevance	the principle or theory underlying the	claimed invention cannot be
"E" ertier document but published on or after the international filing date "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other	considered novel or cannot be consta- step when the document is taken alon	E CO IN ACIAS SIL MACUTA AS
special reason (as specified) "O" document referring to an oral disclosure, use, exhibition or other	"Y" document of particular relevance: the considered to involve an inventive re-	
means "P" document published prior to the international filing date but later than	combined with one or more other suc being obvious to a person skilled in t	he art
the priority date claimed	document member of the same paten	
Date of the actual completion of the international search	Date of mailing of the international	
	25 -11 - 199	7
21 November 1997 Name and mailing address of the ISA/	Authorized officer	
Swedish Patent Office		
Box 5055, S-102 42 STOCKHOLM Facsimile No. + 46 8 666 02 86	Rune Bengtsson Telephone No. + 46 8 782 25 00	
1 accumine 140. 1 40 0 000 02 00		

INTERNATIONAL SEARCH REPORT

International application No.

	97/00692		/97	01/	8	member	patent famil	ation on	Inform	
ıblication	Publi	. 5.710	Patent family member(s)			ion	Publica	Patent document cited in search report		Pa
/97 3/97	10/04/9 29/03/9	\	Mber(s) 34096 34949	6	A C		02/04	A2	0766468	EP
			<u> </u>	NE		 /97	25/02	A	5606609	US
								~~~~		
			,			•				
•					•					